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**STATEMENT UNDER 37 CRF 3.73(b)****Applicant/Patent Owner: Ludwig Institute for Cancer Research****International Application No./Patent No PCT/EP2004/014379 Filed/Issue Date: 14 December 2004****Entitled: VACCINE COMPRISING IL-12 OR IL-23 FOR TREATMENT OF AUTOIMMUNE DISEASES****Ludwig Institute for Cancer Research., a corporation, states that it is:**1. ☐ the assignee of the entire right, title, and interest; or2. ☒ an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is 50 % in the patent application/patent identified above by virtue of either;A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

14 SEPTEMBER 2005

Date

JONATHAN SKOVER

Printed or Typed Name

212 450-1500

Telephone Number

ASSOCIATE DIRECTOR

Attorney and Authorized Official

Title

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is essential to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commission for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ASSIGNMENT

WHEREAS I/We, Pascal METTENS of Rixensart, Belgium and Catherine UYTENHOVE and Jacques VAN SNICK of Brussels, Belgium have made an invention entitled:

VACCINE COMPRISING IL-12 OR IL-23 FOR TREATMENT OF AUTOIMMUNE DISEASES

for which on \_\_\_\_\_ I/we executed an application for Letters Patent of the United States of America;

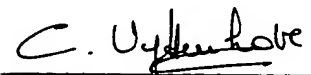
NOW, THEREFORE, in return for valuable consideration paid to me/us by GlaxoSmithKline Biologicals s.a. of Rue de l'Institut 89, B-1330 Rixensart, Belgium and Ludwig Institute for Cancer Research of 605 Third Avenue, New York, New York 10158, United States of America (hereinafter "ASSIGNEES"), the receipt of which is hereby acknowledged and intending to be legally bound, I/we do hereby assign unto the said ASSIGNEES, their successors and assigns, the entire right, title and interest in and to the said invention, said executed application, any division, continuation and continuation-in-part of said application and reissue applications, and all Letters Patents of the United States of America to be obtained therefor;

I/we hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

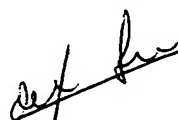
In addition I/we agree to provide ASSIGNEES upon their request with all pertinent facts and documents relating to said invention, and said Letters Patent as may be known and accessible to me/us, and to testify as to the same in any interference or litigation related thereto, and to execute further instruments (including divisional, continuation, continuation-in-part or reissue applications, affidavits or other instruments) required to apply for, obtain, maintain and enforce said application and said Letters Patent which may be necessary; this agreement to be binding upon my/our heirs, executors and administrators.

  
\_\_\_\_\_  
Inventor 1  
Pascal METTENS

Date: 26<sup>th</sup> September 2005

  
\_\_\_\_\_  
Inventor 2  
Catherine UYTENHOVE

Date: 14 September 2005

  
\_\_\_\_\_  
Inventor 3  
Jacques VAN SNICK

Date: 14 September 2005